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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/728,237	12/03/2003	Jean-Paul Mardon	12928/100022	7515
7590 08/30/2006				
Richard Wiener Pollock, Vande Sande & Priddy, R.L.L.P. P.O. Box 19088 Washington, DC 20036-3425			EXAMINER SHEEHAN, JOHN P	
			ART UNIT 1742	PAPER NUMBER

DATE MAILED: 08/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

#4

<b>Office Action Summary</b>	<b>Application No.</b> 10/728,237	<b>Applicant(s)</b> MARDON ET AL.	
	<b>Examiner</b> John P. Sheehan	<b>Art Unit</b> 1742	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12 June 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 7-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 7-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 7 to 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabol et al. (Sabol, US Patent No. 4,649,023, cited in the IDS submitted March 18, 2004) in view of Rebeyrolle et al. (Rebeyrolle, US Patent No. 5,832,050, cited in the IDS submitted March 18, 2004).

Sabol teaches a method of making tubes for use in nuclear reactors (Abstract and column 1, lines 35 to 45) from zirconium base alloys comprising 0.5 to 2.0% niobium, up to 1.5% tin, and up to 0.25% iron, chromium or vanadium (Abstract). Sabol also teaches that the disclosed zirconium alloys can contain 1000-1600 ppm oxygen, carbon in amounts less than 100 ppm and silicon in an amount of less than 80 ppm. Sabol teaches a process comprising heating the zirconium alloy to a temperature of 950 to 1000°C, quenching the alloy, extruding the alloy at a temperature of about 700°C, cold rolling the alloy with intermediate annealing at a temperature of about 600°C and final annealing the alloy at a temperature of less than about 650°C (see column 3, lines

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10 to 60 and column 6, lines 49 and 50). Thus, with the exception of the sulfur content of the zirconium alloy, Sabol teaches the applicants' claimed process.

Rebeyrolle teaches that 8 to 100 ppm and preferably 8 to 30 ppm of sulfur (Abstract) improves the creep behavior and the corrosion resistance (column 8, lines 19 to 34) of zirconium alloys that are used on nuclear reactors (Abstract).

One of ordinary skill in the art at the time the invention was made would have been motivated to add sulfur to Sabol's zirconium alloy so as to improve the creep behavior and the corrosion resistance of zirconium Sabol's disclosed alloy.

### ***Double Patenting***

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 7 to 11 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 13 to 16 of copending Application No. 10/885,927. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claimed process recited in these two sets of claims overlaps. A prima facie case of obviousness exists when the ranges of a claimed invention overlap the ranges disclosed in the prior art In re Geisler 43 USPQ2d 1365 (Fed. Cir. 1997); In re Woodruff, 16 USPQ2d 1934 (CCPA 1976); In re Malagari, 182 USPQ 549, 553 (CCPA 1974) and MPEP 2144.05. .

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims 7 to 11 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 9 of U.S. Patent No. 6,863,475. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claimed process recited in these two sets of claims overlaps. A prima facie case of obviousness exists when the ranges of a claimed invention overlap the ranges disclosed in the prior art In re Geisler 43 USPQ2d 1365 (Fed. Cir. 1997); In re Woodruff, 16 USPQ2d 1934 (CCPA 1976); In re Malagari, 182 USPQ 549, 553 (CCPA 1974) and MPEP 2144.05.

***Response to Arguments***

4. Applicant's arguments filed June 12, 2006 have been fully considered but they are not persuasive.
5. Applicants' argument that Sabol teaches an alloy that contains only **one of** iron, chromium and vanadium and not iron and one of chromium or vanadium is not persuasive. Sabol treats Fe, Cr and V as equivalents. Combining equivalents, that is, combining Fe and one of Cr and/or V, is obvious, *In re Kerhoven*, 205 USPQ 1069.
6. Applicants argue that Sabol is deficient in that Sabol teaches an initial heat treatment temperature of 950<sup>0</sup>C to 1000<sup>0</sup>C while the applicants' claims recite an initial heat treatment temperature of 1000<sup>0</sup>C to 1200<sup>0</sup>C. The Examiner is not persuaded in that these two temperature ranges overlap at 1000<sup>0</sup>C.
7. Applicants' argument that Sabol requires a  $\beta$  annealing between an extrusion and a first rolling whereas the present invention does not require such a step is not persuasive. There is nothing in applicants' claims to preclude these additional steps taught by Sabol.
8. Applicants' argument that Sabol does not teach a final heat treatment at 560 to 620<sup>0</sup>C but rather teaches a final heat treatment at a temperature of less than 650<sup>0</sup>C and that the purpose of Sabol's and applicants' heat treatment is different is not persuasive. Sabol's final heat treatment temperature of less than 650<sup>0</sup>C encompasses applicants' heat treatment range of 560 to 620<sup>0</sup>C. Further, applicants' claims are silent with respect to the purpose of the final heat treatment. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In*

*re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Finally, even if applicants' claims did recite a purpose for the final heat treatment, the fact that applicant may have recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious. See *Ex parte Obiaya*, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

9. Applicants' arguments regarding process differences between Rebeyrolle's disclosed process and the applicants' claimed process are not persuasive. The Examiner is not relying on anything to do with Rebeyrolle's disclosed process. Rather, the Examiner is merely relying on Rebeyrolle's teaching that 8 to 100 ppm and preferably 8 to 30 ppm of sulfur (Abstract) improves the creep behavior and the corrosion resistance (column 8, lines 19 to 34) of zirconium alloys that are used on nuclear reactors (Abstract).

10. Applicants' arguments against the references individually are not persuasive in that one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

11. Applicants' argument that the references do not disclose or even suggest all of the limitations of claims 7 fails to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the

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references. Further, applicant's argument does not comply with 37 CFR 1.111(c) because it does not clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made. Further, they do not show how the amendments avoid such references or objections.

12. Applicants' arguments that the Examiner's rejection relies on "conclusory hindsight, reconstruction and speculation" are not persuasive. It is the Examiner's position that the statement of the rejection set forth above follows the factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966). That the rejection sets forth an objective teaching by Rebeyrolle that the addition of 8 to 100 ppm and preferably 8 to 30 ppm of sulfur (Abstract) improves the creep behavior and the corrosion resistance (column 8, lines 19 to 34) of zirconium alloys that are used on nuclear reactors (Abstract), which is the same type of alloy taught by the primary reference (Sabol) and recited in the instant claims. Further, based on Reyeyrolle's teaching that the addition of 8 to 100 ppm and preferably 8 to 30 ppm of sulfur improves the creep behavior and the corrosion resistance of zirconium alloys that are used on nuclear reactors, that one of ordinary skill in the art would have been motivated to add sulfur to the alloy taught by the primary reference (Sabol). In view of the reasoning set forth in the statement of the rejection and the explanation given here, it is the Examiner's that the rejection is not based on "conclusory hindsight, reconstruction and speculation".



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13. Applicants' statement that instant claims 7 to 11 "are patentably distinct from the cited application and United States Patent 6,863,474" is not persuasive. Applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the references.

### ***Conclusion***

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John P. Sheehan whose telephone number is (571) 272-1249. The examiner can normally be reached on T-F (6:45-4:30) Second Monday Off.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Roy King can be reached on (571) 272-1244. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
John P. Sheehan  
Primary Examiner  
Art Unit 1742

jps